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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

DEC 11 2002

Under 37 CFR 1.97(b), (c), or (d)

Docket No.
6089/CALB/ECP/PJS

In re Application of: **Kholodenko**

Patent & Trademark No.
09/905,513

Filing Date
07/13/2001

Examiner

Group Art Unit

Title: **METHOD AND APPARATUS FOR ENCAPSULATION OF AN EDGE OF A SUBSTRATE DURING AN ELECTRO-CHEMICAL DEPOSITION PROCESS**

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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.

37 CFR 1.97(d)

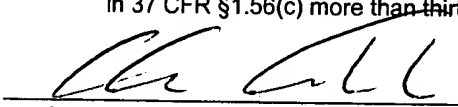
3. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.

Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))
- ☐ No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))
- ☐ The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782.

37 CFR §1.704(d)

4. ☒ Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.


Keith Taboada, Esq.
Reg. No. 45,150

Dated: Dec 6, 2002

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I certify that this document is being deposited on 12-6-2002 with the U.S. Postal Service as first class mail under 37 CFR §1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231


Signature of Person Mailing Correspondence

Barbara J. Jackson
Typed or Printed Name of Person Mailing Correspondence

Moser, Patterson & Sheridan, LLP
Attorneys at Law
595 Shrewsbury Avenue, Suite 100
Shrewsbury, New Jersey 07702
732-530-9404